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ORIGINAL ARTICLE

Phylogeny and physiology of candidate phylum 'Atribacteria' (OP9/JS1) inferred from cultivation-independent genomics

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The 'Atribacteria' is a candidate phylum in the Bacteria recently proposed to include members of the OP9 and JS1 lineages. OP9 and JS1 are globally distributed, and in some cases abundant, in anaerobic marine sediments, geothermal environments, anaerobic digesters and reactors and petroleum reservoirs. However, the monophyly of OP9 and JS1 has been questioned and their physiology and ecology remain largely enigmatic due to a lack of cultivated representatives. Here cultivation-independent genomic approaches were used to provide a first comprehensive view of the phylogeny, conserved genomic features and metabolic potential of members of this ubiquitous candidate phylum. Previously available and heretofore unpublished OP9 and JS1 single-cell genomic data sets were used as recruitment platforms for the reconstruction of atribacterial metagenome bins from a terephthalate-degrading reactor biofilm and from the monimolimnion of meromictic Sakinaw Lake. The single-cell genomes and metagenome bins together comprise six species- to genus-level groups that represent most major lineages within OP9 and JS1. Phylogenomic analyses of these combined data sets confirmed the monophyly of the 'Atribacteria' inclusive of OP9 and JS1. Additional conserved features within the 'Atribacteria' were identified, including a gene cluster encoding putative bacterial microcompartments that may be involved in aldehyde and sugar metabolism, energy conservation and carbon storage. Comparative analysis of the metabolic potential inferred from these data sets revealed that members of the 'Atribacteria' are likely to be heterotrophic anaerobes that lack respiratory capacity, with some lineages predicted to specialize in either primary fermentation of carbohydrates or secondary fermentation of organic acids, such as propionate.
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Introduction

Single-cell amplified genome (SAG) sequencing and metagenomics have proven to be invaluable tools for studying the microbial world by extending the application of genomics to uncultivated microorganisms (Stepanauskas, 2012; Sharon and Banfield, 2013), including phylum-level lineages with no cultivated representatives, that is, candidate phyla. It has been estimated that there may be ⩾100 candidate phyla in the domain Bacteria (Baker and Dick, 2013; Kantor *et al.*, 2013; Yarza *et al.*, 2014), significantly outnumbering phyla with cultivated representatives. Although candidate phyla are typically of low abundance, that is, part of the 'rare biosphere' (Sogin *et al.*, 2006; Elshahed *et al.*, 2008), they are prominent members of microbial

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communities in several different environments (Harris *et al.*, 2004; Chouari *et al.*, 2005; Vick *et al.*, 2010; Peura *et al.*, 2012; Cole *et al.*, 2013; Farag *et al.*, 2014; Gies *et al.*, 2014; Parkes *et al.*, 2014) and may have important ecological roles (Sekiguchi, 2006; Yamada *et al.*, 2011). SAG sequencing and metagenomics have yielded partial, nearly-complete or complete genomes for close to 20 candidate bacterial phyla (Glöckner *et al.*, 2010; Siegl *et al.*, 2011; Youssef *et al.*, 2011; Takami *et al.*, 2012; Wrighton *et al.*, 2012; Dodsworth *et al.*, 2013; Kantor *et al.*, 2013; McLean *et al.*, 2013; Rinke *et al.*, 2013; Kamke *et al.*, 2014; Wrighton *et al.*, 2014), as well as several major uncultivated lineages of Archaea (Elkins *et al.*, 2008; Baker *et al.*, 2010; Ghai *et al.*, 2011; Nunoura *et al.*, 2011; Narasingarao *et al.*, 2012; Kozubal *et al.*, 2013; Rinke *et al.*, 2013; Youssef *et al.*, 2015), opening a genomic window to a much better understanding of this so-called 'microbial dark matter' (Marcy *et al.*, 2007; Rinke *et al.*, 2013). In addition to individual organismal analyses, comparison of genomes from different habitats and from different lineages within a given candidate phylum can yield insight into the phylogeny, conserved features and metabolic diversity within these widespread but poorly understood branches on the tree of life (Kamke *et al.*, 2014).

Candidate phylum OP9 was originally discovered as 1 of the 12 novel lineages (OP1–OP12) in sediments from the hot spring Obsidian Pool in Yellowstone National Park, USA (Hugenholtz *et al.*, 1998). Additional cultivation-independent, 16S rRNA gene-targeted surveys have since recovered sequences related to OP9 in a variety of terrestrial geothermal springs (Costa *et al.*, 2009; Lau *et al.*, 2009; Sayeh *et al.*, 2010; Vick *et al.*, 2010; Wemheuer *et al.*, 2013), wastewater digesters and biogas reactors (Levén *et al.*, 2007; Wrighton *et al.*, 2008; Rivière *et al.*, 2009; Tang *et al.*, 2011), petroleum reservoirs (Gittel *et al.*, 2009, 2012; Kobayashi *et al.*, 2012) and other environments. Similar diversity studies on marine subsurface sediments recovered 16S rRNA gene sequences forming a deeply-branching, monophyletic lineage affiliated with OP9. Based on these results, the marine sediment sister lineage was posited to represent a distinct candidate phylum called JS1 (Webster *et al.*, 2004). A current synthesis of the available data suggests that JS1 is a characteristic denizen of subseafloor environments, and is particularly abundant in sediments associated with methane hydrates and hydrocarbon seeps, and on continental margins and shelves (Inagaki *et al.*, 2006; Orcutt *et al.*, 2011; Parkes *et al.*, 2014). Sequences related to JS1 have also been detected in environments such as petroleum reservoirs (Pham *et al.*, 2009; Kobayashi *et al.*, 2012), hypersaline microbial mats (Harris *et al.*, 2013), and landfill leachates (Liu *et al.*, 2011). The phylogenetic relationships between OP9, JS1 and other bacterial phyla have not been fully resolved (McDonald *et al.*, 2012), and to date, no axenic cultures have been reported for either of these lineages, although enrichment cultures containing JS1 have been successfully obtained (Webster *et al.*, 2011).

Several recent studies have reported the first significant genomic data sets corresponding to members of OP9 and JS1. Two genomes of related OP9 species were recovered from terrestrial geothermal springs (Dodsworth *et al.*, 2013), including a co-assembly of 15 SAGs from Little Hot Creek (Vick *et al.*, 2010) representing '*Candidatus* Caldatribacterium californiense' and a metagenome bin recovered from an *in situ*-enriched, thermophilic cellulosic consortium (77CS) in Great Boiling Spring (Peacock *et al.*, 2013) representing a close relative, '*Ca.* Caldatribacterium saccharofermentans'. Concomitantly, as part of a larger single-cell genome sequencing effort, 13 JS1 SAGs were recovered from different low-oxygen environments, including a terephthalate (TA)-degrading bioreactor, the anaerobic, sulfidic monimolimnion of meromictic Sakinaw Lake, Canada and sediments from Etoliko Lagoon, Greece (Rinke *et al.*, 2013). A single OP9 SAG was also obtained from the TA bioreactor (Rinke *et al.*, 2013). Additional SAGs belonging to JS1 were obtained from marine sediments in Aarhus Bay, Denmark (Lloyd *et al.*, 2013). Other than the '*Ca.* Caldatribacterium' spp., which were predicted to be strictly anaerobic, saccharolytic fermenters, the gene content and metabolic potential of these SAGs have not been described in detail, and a rigorous phylogenomic assessment of the monophyly of these OP9 and JS1 genomic data sets remains to be performed.

In this study, single-cell sequence data were used to further expand the genomic coverage of OP9 and JS1 by identifying sequences corresponding to these lineages in metagenomes from the TA bioreactor and Sakinaw Lake. The SAGs and resulting metagenome bins were then used to assess the monophyly of the 'Atribacteria' inclusive of OP9 and JS1, to identify metabolic and structural features conserved in 'Atribacteria' and to predict physiological potential within different 'Atribacteria' lineages.

Materials and methods

Single-cell genomes and metagenome data sets

JS1 SAGs B17 and I22 were obtained from 10-cm depth in a sediment core taken from Aarhus Bay, Denmark (56° 9' 35.889 N, 10° 28' 7.893 E). Sediment sampling, sample preparation, single-cell sorting and 16S rRNA gene sequencing of these SAGs has previously been described (Lloyd *et al.*, 2013). Secondary genome amplification by multiple strand displacement, sequencing and assembly for SAGs B17 and I22 performed in this study is described in the Supplementary Information. Other SAG data sets used in this study have been published previously (Dodsworth *et al.*, 2013; Rinke *et al.*, 2013). Names and accession numbers of SAGs, metagenomes and metagenome bins used or generated in this study are shown in Supplementary Table S1.

Metagenome binning
Binning of metagenomes was performed using Metawatt version 1.7 (Strous *et al.*, 2012), PhylopythiaS (Patil *et al.*, 2011) and an emergent self-organizing maps (ESOM) procedure (Dick *et al.*, 2009). For Metawatt, binning was performed using medium sensitivity with a taxonomic database containing SAG data from Rinke *et al.* (2013) and Dodsworth *et al.* (2013), as well as all complete bacterial and archaeal genomes ($n=2535$) downloaded from http://ftp.ncbi.nlm.nih.gov/genomes/Bacteria on 9 July 2013. The '*Ca.* C. saccharofermentans' bin in the 77CS metagenome (Dodsworth *et al.*, 2013) was refined using Metawatt and manually filtered based on contig coverage profile, retaining contigs with coverage values from 170 to 300. For PhylopythiaS, default settings were used and manual curation was performed based on average contig read depth, BLAST, principle component analysis of tetranucleotide frequency and the presence of single-copy conserved markers (SCMs; Nobu *et al.*, 2015b). For ESOM, metagenome contigs were split into 2500-bp long sequences with a window size of 5000 bp, and tetranucleotide frequencies were calculated for these sequences using tetramer_freqs_esom.pl (https://github.com/tetramerfreqs/binning). This was used as input to esomtrn (http://databionic-esom.sourceforge.net/user.html) to generate an ESOM (Dick *et al.*, 2009). Contig fragments in distinct regions of the ESOM were selected by manual inspection, and corresponding contigs were included in the bin if $>50\%$ of their length was represented by these fragments. ClaMS (Patil *et al.*, 2011) was also used for binning of the Etoliko Lagoon metagenome. Other homology-based binning methods such as BLASTN and BLASTP (Altschul *et al.*, 1997) were used on the TA biofilm and Sakinaw Lake metagenomes for comparison. For BLASTN, contigs were binned based on having $>95\%$ identity over >1 kb to either the Sakinaw Lake SAGs (for the Sakinaw Lake metagenome) or the TA biofilm SAG 231 (for the TA biofilm metagenome). For BLASTP, metagenome contigs were binned if they contained at least one open reading frame with a top BLASTP hit to the OP9/JS1 SAGs in comparison to the NCBI protein nr database (accessed 1 September 2013), and furthermore if at least half the open reading frames on the contig had BLASTP hits $>95\%$ identity over $>80\%$ of their length to the OP9/JS1 SAGs. Metawatt was used to screen additional metagenomes identified as containing reads taxonomically assigned to OP9 or JS1 (Rinke *et al.*, 2013).

Phylogenomics and phylogenetics
For phylogenomic analysis, a set of 31 markers conserved in Bacteria were identified in the OP9 and JS1 data sets using Amphora2 (Wu and Scott, 2012), and phylogenetic analysis using RAxML (Stamatakis, 2006) was performed on these and a set of markers in a variety of other bacterial genomes as previously described (Dodsworth *et al.*, 2013). Additionally, SAGs and metagenome bins were scanned for homologues of a set of 83 universally conserved single-copy proteins present in Bacteria (Rinke *et al.*, 2013). Marker genes were detected, aligned with *hmmsearch* and *hmmalign* included in the HMMER3 package (Eddy, 2011), and used to build concatenated alignments of up to 83 markers per genome. The phylogenetic inference method used was the maximum likelihood-based FastTree2 (Price *et al.*, 2010) executed using the CAT approximation with 20 rate categories and the Jones–Taylor–Thornton amino-acid evolution model.

For 16S rRNA gene phylogenies, sequences were retrieved from the SAG and metagenomic data sets and aligned with nearly full-length 16S rRNA genes (>1300 bp) from representative 'Atribacteria' (OP9 and JS1 lineages) and other bacterial phyla from the NCBI database using the SILVA Incremental Aligner (SINA) online tool (Pruesse *et al.*, 2012; http://www.arb-silva.de/). Alignments were then checked and columns containing gaps were removed in BioEdit (http://www.mbio.ncsu.edu/BioEdit/bioedit.html). Phylogenetic trees were constructed using maximum likelihood with the HKY85 substitution model, and 100 bootstrap replicates were implemented with PhyML (Guindon *et al.*, 2010) through the online tool phylogeny.fr (http://phylogeny.lirmm.fr/; Dereeper *et al.*, 2008). Congruent trees were also obtained using other methods, including minimum evolution and LogDet distance, neighbour-joining with Jukes–Cantor algorithm, maximum likelihood with Tamura–Nei model and maximum parsimony in MEGA version 6 (Tamura *et al.*, 2013). Phylogeny was also inferred with a larger data set (744 sequences) as described above using 1344 positions, and the resulting tree was exported into the interactive Tree of Life (http://itol.embl.de/). Sequences were colour-coded by habitat of origin using the interactive Tree of Life online tools (Letunic and Bork, 2011).

Genomic analyses and identification of potentially monophyletic genes
Both the IMG/M-ER (Markowitz *et al.*, 2014) and RAST (Aziz *et al.*, 2008) platforms were used for gene calling, functional prediction and comparison of SAGs and metagenome bins. Because not all of the data sets could be uploaded to IMG/M-ER, RAST was used as a common platform and annotation system for most comparative analyses; however, IMG/M-ER was useful for checking functional annotations and for gene calling near the ends of open reading frames in these fragmented SAG and metagenome bin data sets. SCMs were identified by searching for protein families (PFAMs) using HMMER3 (Eddy, 2011) and marker-specific cutoffs as described (Rinke *et al.*, 2013). Average nucleotide identity (ANI) was calculated by the method of Goris *et al.* (2007) using the online tool http://enve-omics.ce.gatech.edu/ani/. Phylogenetic analysis of predicted protein sequences

from individual genes in putative bacterial microcompartment (BMC) clusters was performed using MUSCLE v3.7 (Edgar, 2004). Alignments were manually adjusted with BioEdit (http://www.mbio.ncsu.edu/BioEdit/bioedit.html), and maximum likelihood phylogenies with 100 bootstrap replicates were performed with PhyML (Guindon *et al*., 2010) using the online tool phylogeny.fr (Dereeper *et al*., 2008). Prediction of potentially secreted proteins was carried out using PSORTb 3.0 (Yu *et al*., 2010). To identify genes that are potentially conserved and monophyletic within the 'Atribacteria', predicted proteins in OP9/JS1 SAGs and metagenome bins were queried by BLASTP against a database composed of 'Atribacteria' data sets as well as all non-'Atribactiera' sequences in the NCBI RefSeq protein database (release 65, downloaded 20 July 2014). For each query sequence, results were sorted by bitscore, and top hits were recorded until the first non-'Atribacteria' sequence was encountered. Results for all of the 'Atribacteria' data sets were cross-referenced. Because of the incomplete nature of the data sets, a predicted protein was considered as being potentially conserved and monophyletic in the 'Atribacteria' if it had BLASTP hits to predicted proteins in at least one of the OP9 lineages (OP9 – 1, – 2) and at least two of the JS1 lineages (JS1 – 1, – 2, – 3, – 4) with higher bit scores than hits to any non-'Atribacteria' proteins.

Results and discussion

Metagenome binning targeting OP9 and JS1

Several tetranucleotide frequency-based binning techniques (PhylopythiaS, Metawatt and ESOM) were compared and used to identify metagenome bins corresponding to OP9 or JS1 based on the available SAG data in the TA biofilm, Sakinaw Lake and other metagenomes (Dodsworth *et al*., 2013; Rinke *et al*., 2013). PhylopythiaS, Metawatt and ESOM produced largely overlapping bins of JS1 contigs from the TA biofilm metagenome that had distinct monomodal read depth distribution of 14.8 mean ± 4.7 s.d. in comparison to a bulk metagenome read depth of 95 mean ± 273 s.d. Despite the fact that three distinct SAGs (two JS1, one OP9) were obtained from this sample, this was the only clear bin representing a lineage of OP9 or JS1, likely due to its relative abundance and sequencing coverage of the metagenome. These bins also contained a low number of duplicated SCMs (Supplementary Figure S1), consistent with the bins each representing the core genome of a single species or genotype rather than a diverse mixture of related organisms. PhylopythiaS, Metawatt and ESOM produced bins that were significantly larger than the methods based on homology alone (BLASTN and BLASTP), as would be expected for these more generalized, nucleotide frequency-based methods (Supplementary Figure S1). Similar results were obtained through binning of the Sakinaw Lake metagenome, although the bins were somewhat less distinct, possibly because of increased abundance and diversity of JS1 lineages present in this environment (Gies *et al*., 2014), including at least two prominent, distinct JS1 lineages in the monimolimnion based on previous 16S rRNA gene clone libraries (data not shown). After manual filtering based on contig coverage statistics, the PhylopythiaS bins were selected for further analysis because they were larger and had fewer duplicated SCMs than bins made by Metawatt and ESOM for the TA biofilm (Supplementary Figure S1) and the Sakinaw Lake metagenomes (data not shown). No distinct bins corresponding to OP9 or JS1 were obtained in the Etoliko Lagoon metagenome (source of JS1 SAG 227) or other metagenomes with reads assigned to OP9/JS1 (Rinke *et al*., 2013), which was probably because of a low abundance of these lineages in the metagenomes that were screened. In addition to generating new metagenome bins, coverage-based curation of the '*Ca*. C. saccharofermentans' bin from the 77CS cellulolytic enrichment metagenome (Dodsworth *et al*., 2013) significantly enhanced the fidelity of this bin (two duplicated SCMs compared with 12 prior to filtering) without decreasing estimated genomic coverage (Table 1).

The bins obtained from the TA biofilm and Sakinaw Lake metagenomes were closely related to existing JS1 SAG data sets and expanded genomic coverage within these lineages. Based on ANI, the Sakinaw Lake bin corresponded to the Sakinaw Lake SAG co-assembly (99.2% ANI). The TA biofilm metagenome bin corresponded to TA biofilm SAG 231 (99.9% ANI) but was not closely related to the other two SAGs recovered from the TA biofilm, JS1 SAG 167 and OP9 SAG 232. Distinct bins corresponding to SAGs 167 and 232 were not detected, possibly due to a low abundance of these lineages in the TA reactor biofilm. Although these ANI values are above the %ANI typically shared by members of the same species (95%; Richter and Rosselló-Móra, 2009), co-assembly of the metagenomes with their respective SAG data sets was not performed because of the distinct nature of the data sets (SAG vs metagenome). The TA biofilm metagenome bin was significantly larger and had a much higher estimated genomic coverage (86%) than SAG 231 (25%; Table 1). A genomic coverage of 91% was estimated for the species-level lineage represented by pooling the SAG and metagenome bin (designated JS1-2), indicating good coverage within this lineage. The Sakinaw Lake metagenome bin also expanded on genomic coverage of JS1 in Sakinaw Lake, with 110 SCMs suggesting 87% estimated coverage present in both data sets combined in comparison to 81% (101 SCMs) by the SAG co-assembly alone. The modest gains were likely due to the small size of this metagenome bin in relationship to the Sakinaw SAG co-assembly.

Table 1 OP9 and JS1 SAG and metagenome (MG) bin data sets

SAGs or MG bin	*Group*	*Size (Mb)*	*No. of scaffolds*	*No. of genes*	*No. of SCMs*	*No. of SCMs > 1 copy*	*Estimated genomic coverage*	*Reference*
LHC SAG co-assembly	OP9-1	2.09	545	2477	130	1	>99%	Dodsworth *et al.*, 2013
77CS MG bin	OP9-1	1.79	153	1970	134	2	>99%	Dodsworth *et al.*, 2013; this study
TA biofilm SAG 232	OP9-2	0.71	43	687	9	0	7%	Rinke *et al.*, 2013
SL SAG co-assembly	JS1-1	2.09	153	2071	102	0	81%	Rinke *et al.*, 2013
SL MG bin	JS1-1	0.34	150	448	39	1	31%	This study
SL SAG 217	JS1-1	0.33	27	320	29	0	23%	Rinke *et al.*, 2013
Additional SL SAGs (4)[a]	JS1-1	0.15–1.65	20–131	163–1672	7–92	0–2	6–73%	Rinke *et al.*, 2013
Aarhus Bay SAG I22	JS1-1	1.04	291	1074	28	0	22%	Lloyd *et al.*, 2013; this study
TA biofilm SAG 231	JS1-2	0.95	50	932	32	0	25%	Rinke *et al.*, 2013
TA biofilm MG bin	JS1-2	2.01	789	2434	108	1	86%	This study
Aarhus Bay SAG B17	JS1-3	1.13	246	1361	9	1	7%	Lloyd *et al.*, 2013; this study
Etoliko Lagoon SAG 227	JS1-3	0.32	28	320	11	0	8%	Rinke *et al.*, 2013
TA biofilm SAG 167	JS1-4	0.49	27	466	42	0	33%	Rinke *et al.*, 2013

Abbreviations: LHC, Little Hot Creek; SAG, single-cell amplified genome; SCM, single-copy conserved marker; SL, Sakinaw Lake; TA, terephthalate.
[a]Sakinaw Lake SAGs 124, 130, 136 and 219.

Phylogenetic diversity and phylogenomics of OP9 and JS1 data sets

The SAGs and metagenome bins were broadly distributed within OP9 and JS1 and represent a diversity of habitats where these lineages are found. The SAGs and metagenome bins together comprised six groups (Figure 1), including three genus-level groups (OP9-1, JS1-1, JS1-3) at >95% 16S rRNA gene identity and one species-level group (JS1-2) at >95% ANI and >98.7% 16S rRNA gene identity (Richter and Rosselló-Móra, 2009; Yarza *et al.*, 2014). These groups represent most major clades comprising OP9 and JS1, including three of the four order-level groups identified in the Greengenes taxonomy (McDonald *et al.*, 2012), as well as all three classes and major order-level candidate taxonomic units identified by Yarza *et al.* (2014). Overall, members of the OP9 lineage tend to be found in terrestrial thermal and subsurface environments while JS1 sequences are found mainly in non-thermal systems (Supplementary Figure S2), although there is some overlap. Both lineages, however, appear to be restricted to anaerobic environments, often with considerable amounts of biomass or reduced carbon present. The SAGs and metagenome bins represent most of the major habitat types where OP9 and JS1 sequences are found, including geothermal springs, bioreactors, brackish waters and marine sediments. Of note, the genus-level lineage represented by the group JS1-1 SAGs and metagenome bin also includes sequences that are particularly abundant in some marine sediments (Inagaki *et al.*, 2006; Parkes *et al.*, 2014). In contrast to some previous phylogenetic analyses of OP9 and JS1 (Webster *et al.*, 2004), there is high bootstrap support (>96% of pseudoreplicates) for the monophyly of these two lineages with the broader set of 16S rRNA gene sequences included here (Figure 1, Supplementary Figure S2). This is consistent with some other recent taxonomies (McDonald *et al.*, 2012; Yarza *et al.*, 2014) and likely reflects the increased number and diversity of OP9 and JS1 sequences now available in the Genbank database.

Phylogenies based on conserved protein-coding markers in the SAGs and metagenome bins, as well as several other affiliated bacterial phyla with cultivated representatives, show strong support for the monophyly of the 'Atribacteria' lineage encompassing both OP9 and JS1 (Figure 2, Supplementary Figure S3). Although support for a node connecting the 'Atribacteria' and *Synergistetes* was sometimes observed (Supplementary Figure S3), this affiliation was not supported in a majority of phylogenomic analyses using various outgroups (data not shown) or in previous phylogenomic analyses of larger data sets (Rinke *et al.*, 2013) and is not supported by 16S rRNA gene phylogenies presented here (Figure 1) or elsewhere (Rinke *et al.*, 2013; Yarza *et al.*, 2014). Therefore, inclusion of the 'Atribacteria' in the phylum *Synergistetes* is not justified. To address the question of whether OP9 and JS1 represent a

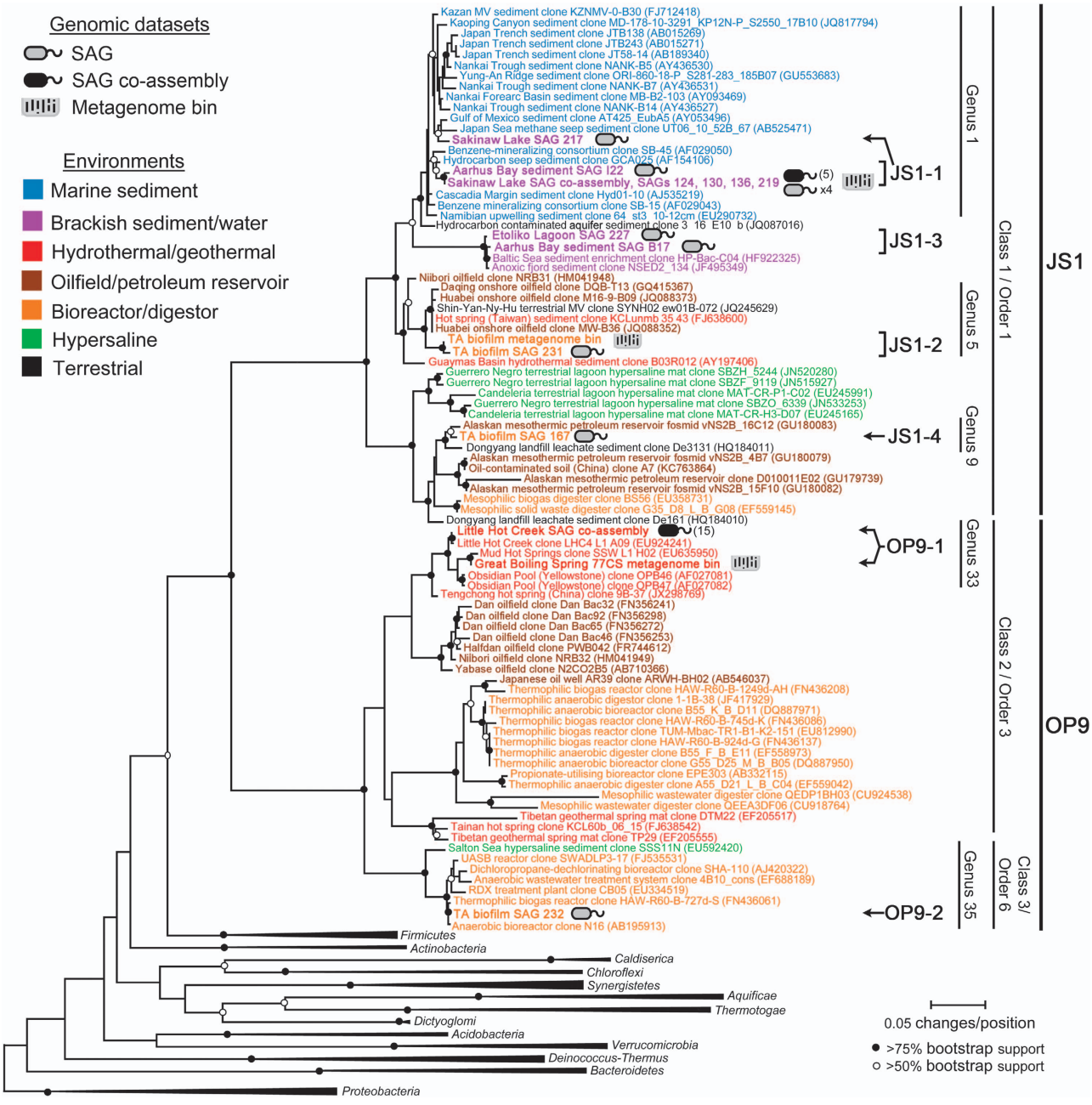

Figure 1 A 16S rRNA gene phylogeny of SAG and metagenome bin data sets (underlined) within the context of cloned sequences from OP9 and JS1 and of other Bacteria. The number of SAGs used for construction of co-assemblies are indicated in parentheses. Genus, Order and Class candidate taxonomic units proposed by Yarza *et al.* (2014) that encompass the OP9 and JS1 data sets are indicated. Although the Sakinaw Lake JS1 metagenome bin did not contain a 16S rRNA gene, its affiliation with the Sakinaw Lake co-assembly (based on %ANI) is indicated in this figure.

single phylum or two distinct sister phyla (Webster *et al.*, 2004), both of which would be consistent with the phylogenomics results, 16S rRNA gene identities were compared among these lineages. The median (80.8%) and minimum (74.2%) 16S rRNA gene identity between members of OP9 and JS1 are within the range of these values suggested for other bacterial and archaeal phyla (Supplementary Table S2; (Yarza *et al.*, 2014)). Furthermore, only two of the 70 784 pairwise comparisons have a sequence identity below the suggested threshold (75%) recently proposed for delineation of a phylum, consistent with the designation of sequences in OP9 and JS1 as a single phylum-level candidate taxonomic unit by Yarza *et al.* (2014). Thus there is not a compelling argument for designation of OP9 and JS1 as separate phyla, and the most parsimonious analysis of the available data would suggest that the 'Atribacteria', inclusive of OP9 and JS1, is a single candidate phylum within the Bacteria.

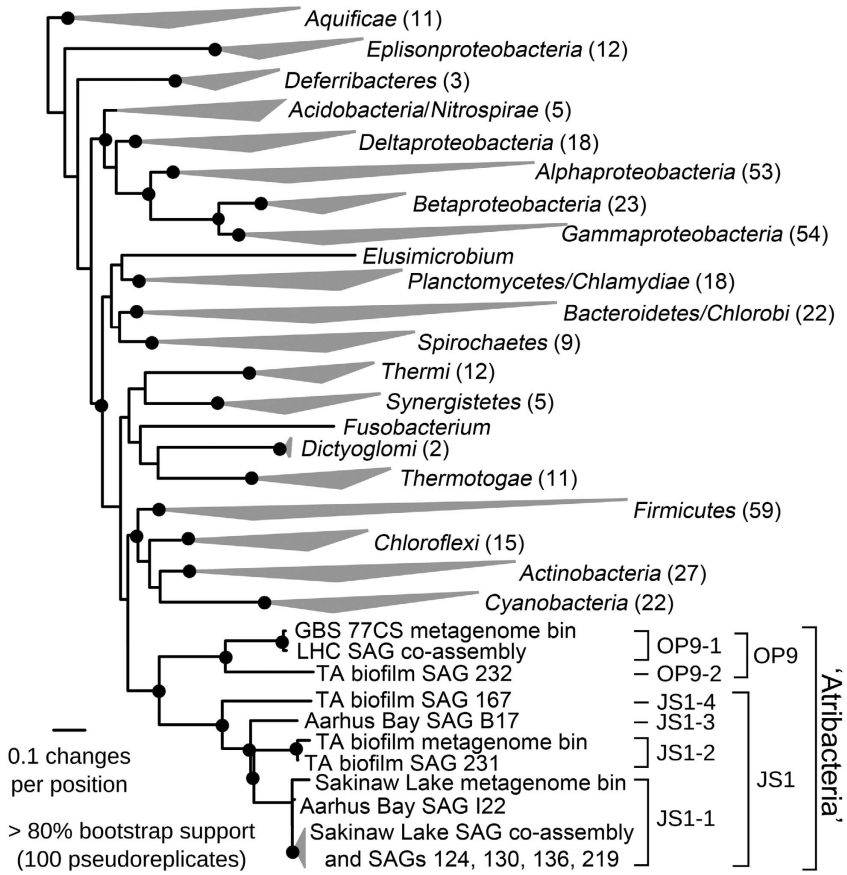

Figure 2 Phylogenomic analysis of OP9 and JS1 SAGs, metagenome bin data sets and other Bacteria. Maximum likelihood phylogeny inferred with RAxML (Stamatakis, 2006) using a concatenated alignment of 31 conserved markers. The number of organisms represented by each wedge is indicated in parentheses. Etoliko Lagoon SAG 227 is not included because it did not contain any of these markers.

Conserved features and potential roles for bacterial microcompartments in the 'Atribacteria'

All of the 'Atribacteria' represented by the genomic data sets appear to be heterotrophic fermenters or syntrophs, because none of the genomes contain genes suggestive of capacity for autotrophy, and no clear evidence of either aerobic or anaerobic respiratory capacity was observed. As noted previously for the Little Hot Creek SAG co-assembly and 77CS metagenome bin (Dodsworth *et al.*, 2013), several key markers associated with bacteria containing an outer membrane (Sutcliffe, 2011) were also present in the other 'Atribacteria' data sets (Supplementary Table S3), suggesting a diderm cell envelope structure for both OP9 and JS1 lineages. To sift the genomes for additional conserved features that might offer insight into the physiology of the 'Atribacteria', a set of putatively monophyletic genes was identified, each of which was present in at least one OP9 and two JS1 groups (Table 1,Figure 1) and showed higher BLASTP matches to other OP9 and JS1 putative homologues than to hits outside the 'Atribacteria'. In total, only 51 genes met these criteria (Supplementary Table S4). The majority of these encode proteins predicted to be involved in cell envelope synthesis, transport/secretion, housekeeping/central metabolism or have no predicted function. Potentially monophyletic genes that may be involved in specific metabolic processes include peptidases of the C11/clostrapain superfamily (Chen *et al.*, 1998; Rawlings *et al.*, 2014) with predicted N-terminal Sec-dependent secretion sequences, which could allow for digestion and utilization of proteins, and the HylB subunit of an electron-bifurcating formate dehydrogenase (Wang *et al.*, 2013) that may be involved in energy conservation, as discussed below.

Interestingly, 11 of the 51 potentially monophyletic genes are present in the majority of the 'Atribacteria' genomic data sets, either at a single locus or on contig fragments consistent with the structure of a single locus (Figure 3). Six of the genes at these loci are predicted to encode homologues of BMC shell proteins (COGs 4576 and 4577, containing PFAMs PF03319 and PF00936, respectively). BMCs are protein-bound bacterial organelles that can function in anabolic (for example, the carboxysome in cyanobacteria) or catabolic (for example, ethanolamine or 1,2-propanediol utilization) processes (Kerfeld *et al.*, 2010). Recent genomic surveys have revealed that BMC gene clusters are broadly distributed within the Bacteria, including the 'Atribacteria' and several other candidate phyla (Axen *et al.*, 2014; Kamke *et al.*, 2014). The only 'Atribacteria'

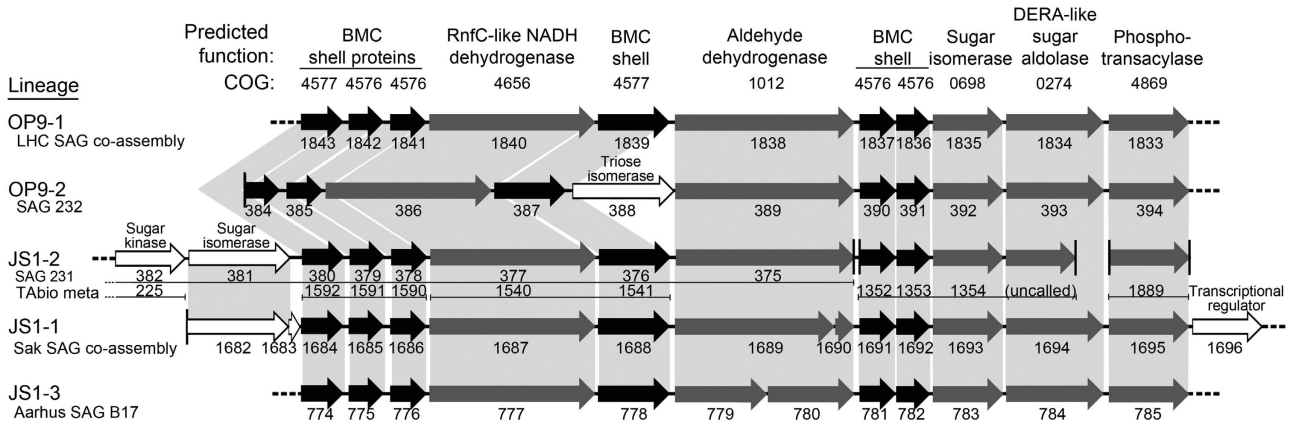

Figure 3 BMC gene loci in representatives of different 'Atribacteria' lineages. Genes predicted to encode BMC shell proteins (black) and enzymes (grey) conserved in the BMC loci, as well as intervening or peripheral genes that may be involved in BMC function (white), are indicated by arrows, with corresponding RAST gene numbers below each arrow. Predicted function and COGs are indicated at the top. Homologous genes are indicated by light grey shading, and truncated contigs are indicated by vertical lines. For JS1-2, individual gene numbers and contig fragments (thin lines below gene numbers) are indicated separately in SAG 231 and the TA biofilm metagenome bin that together contain a complete set of the conserved genes in 'Atribacteria' BMC loci on multiple, truncated contigs.

lineage for which genomic data are available where these BMC genes were not detected was JS1-4 (represented by SAG 167), quite probably because the estimated genomic coverage for this SAG was relatively low at 33% (Table 1). Three of the genes in these BMC loci encode homologues of PduP (coenzyme A-acylating aldehyde dehydrogenase), PduL (phosphotransacetylase) and PduS (RnfC/quinone oxidoreductase (Nqo)-like NADH dehydrogenase), which are involved in BMC-mediated 1,2-propanediol catabolism in *Salmonella* spp. (Sampson *et al.*, 2005; Parsons *et al.*, 2010). The presence of PduPL suggests that the putative 'Atribacteria' BMC may sequester metabolically generated, toxic aldehydes as has been proposed for catabolic BMCs in other organisms (Sampson and Bobik, 2008). However, the lack of several other key Pdu genes required for 1,2-propanediol catabolism suggest that this specific substrate is not utilized by 'Atribacteria' (Parsons *et al.*, 2010). The remaining two conserved genes in these 'Atribacteria' BMC loci encode homologues of 2-deoxy-D-ribose 5-phosphate aldolase (DERA) and pentose monophosphate isomerase, suggesting that BMC may link aldehyde and sugar metabolism. Although such aldolases and sugar isomerases are not typically associated with BMC loci in other organisms, comparison of the predicted products of these genes with close homologues revealed an N-terminal 30–40 amino-acid sequence unique to the BMC-associated 'Atribacteria' proteins (Supplementary Figure S4). This suggests that they may be targeted to the BMC lumen, as several BMC-associated genes from other bacteria have been shown to have N-terminal extensions of similar length for facilitating BMC lumen localization, although prediction of specific residues responsible for targeting is not straightforward (Fan *et al.*, 2010). A putative transcriptional regulator flanking the BMC cluster in the Sakinaw SAG co-assembly (Figure 3) was also identified as a conserved 'Atribacteria' gene (Supplementary Table S4). Although not apparently closely linked to the BMC cluster in other 'Atribacteria' lineages, this regulator may be involved in controlling BMC cluster expression.

DERA is known to perform aldol condensation of acetaldehyde and glyceraldehyde-3-phosphate, forming deoxyribose-5-phosphate (Jennewein *et al.*, 2006), and it has been suggested that the BMC in 'Atribacteria' may be involved in catabolism of deoxyribose-5-phosphate or other sugar phosphates (Axen *et al.*, 2014). Compared with the *Escherichia coli* DERA, the 'Atribacteria' DERA consistently encode residue substitutions indicative of high resistance to aldehyde inhibition (K172F and V206I), increased capacity to perform sequential aldol reactions (F200I) and substrate specificity modifications (F200I and M185I; Jennewein *et al.*, 2006; Sakuraba *et al.*, 2007). Tolerance to high aldehyde concentrations, implied by these substitutions, may enable the 'Atribacteria' DERA to condense aldehydes within an aldehyde-accumulating BMC. Although the implications of the substrate specificity modifications are unclear, we speculate that the 'Atribacteria' DERA performs sequential condensation of aldehydes involving a sugar intermediate that is not necessarily deoxyribose-5-phosphate. To generate aldehydes for such metabolism, PduPL are thought to reduce acetyl-CoA to acetaldehyde utilizing the BMC lumen NAD^+/NADH pool, which can be isolated from (Huseby and Roth, 2013) or exchanged with the cytoplasmic NAD^+/NADH pool (Cheng *et al.*, 2012). If BMC and cytoplasmic NADH are exchangeable in 'Atribacteria', aldehyde generation within the BMC could effectively serve as a cytoplasmic NADH sink (Figure 4a), which could have important implications in energy conservation in 'Atribacteria' lineages as discussed below. Although PduS may also facilitate reducing power transfer between the BMC lumen and cytoplasm (Figure 4a), its exact role remains unclear. In this

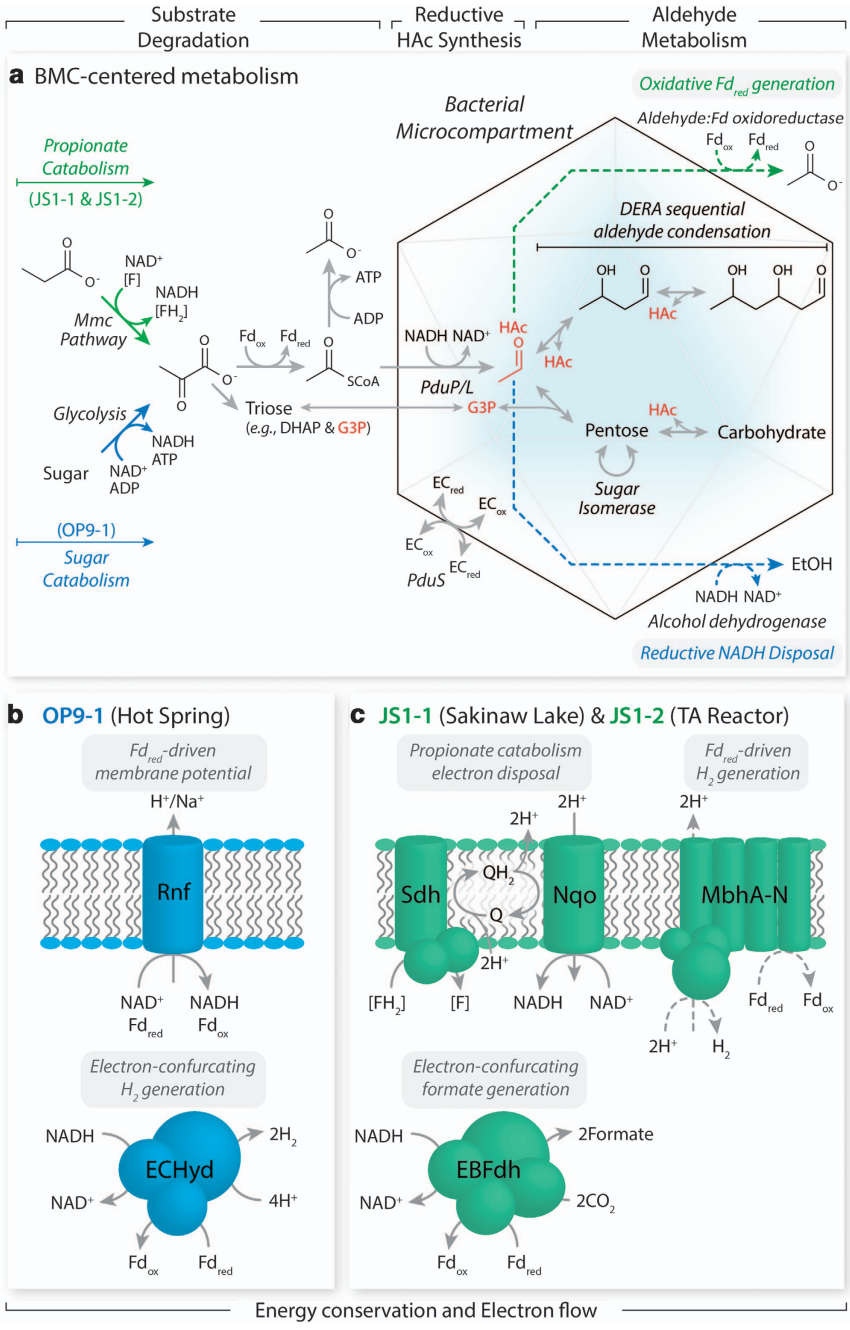

Figure 4 Predicted catabolism, BMC function and energy conservation in 'Atribacteria' JS1-1, JS1-2 and OP9-1 lineages. (**a**) Catabolic degradation of propionate via the Mmc pathway in JS1-1 and JS1-2 (green arrows) and fermentation of sugars in OP9-1 (blue) converge on pyruvate, which can be further processed to acetyl-CoA, acetate and acetaldehyde (HAc) in all these lineages (grey). NADH-dependent acetyl-CoA reduction in the BMC by PduPL produces HAc, which can further serve either as an electron sink via alcohol dehydrogenase for OP9-1 (blue dotted line) or as a high energy electron source via aldehyde:Fd oxidoreductase (producing reduced Fd and acetate) for JS1-1 and JS1-2 (green dotted line). HAc and pyruvate-derived glyceraldehyde-3-phosphate (G3P) may also undergo sequential aldehyde condensation through DERA and sugar isomerase, facilitating carbon storage and later use as an electron source or sink. See text and Supplementary Table S5 for additional details. (**b**) Energy conservation in OP9-1 via NADH:Fd oxidoreductase (Rnf complex) and electron-confurcating hydrogenase (ECHyd). (**c**) Energy-conserving formate/H_2 generation in JS1-1 and JS1-2 through EBFdh, membrane-bound hydrogenase (MbhA-N), succinate dehydrogenase (Sdh) and NADH:Nqo using nicotinamide adenine dinucleotide (NAD^+/NADH), ferredoxin (Fd), flavin (F/FH_2) and quinones (Q/QH_2) as electron carriers.

alternative scenario, the sugar isomerase and aldolase in the compartment interior may allow the BMC to function as a potential sugar storage compartment. Phylogenetic analyses support the monophyly of each of the 11 conserved genes in the 'Atribacteria' BMC cluster in comparison to representative homologues (from appropriate COGs, PFAMs or conserved domains) and top BLASTP hits (Supplementary Figures S5 – S12), and the overall order of the genes within the loci is highly conserved. The broad

distribution, monophyly and conserved synteny of these genes in the 'Atribacteria' suggest that this putative BMC is an ancestral trait within the phylum, and it is reasonable to deduce that BMC-mediated aldehyde conversion to sugars is central to 'Atribacteria' metabolism.

Predicted catabolic substrates and energy conservation in OP9-1, JS1-1 and JS1-2 lineages

The high genomic coverage in lineages OP9-1, JS1-1 and JS1-2 allow a more detailed discussion of their physiological potential, including predicted substrates, energy conservation mechanisms and the possible role of BMC in catabolic processes. 'Atribacteria' members associated with the hot spring environment ('*Ca*. Caldatribacterium' spp. in the OP9-1 lineage) have been predicted to perform saccharolytic fermentation from cellulosic substrates, including xyloglucan (Dodsworth *et al*., 2013). Sugar oxidation generates NADH and reduced ferredoxin (Fd_{red}) as reduced electron carriers and thus requires complementary pathways to dispose this reducing power (Figure 4a). These genomes encode pathways for reoxidation of NADH via acetyl-CoA reduction to ethanol (aldehyde and alcohol dehydrogenases) and H_2 production (NiFe hydrogenase, not shown in Figure 4) and concomitant re-oxidation of both NADH and Fd_{red} by an electron-confurcating hydrogenase (Schut and Adams, 2009; Sieber *et al*., 2012; Figure 4b). In addition, they possess an NADH:Fd oxidoreductase (Rnf complex; Biegel *et al*., 2011) that may allow '*Ca*. Caldatribacterium' to balance oxidation of NADH and Fd_{red} (Figure 4b) and generate a proton- (or sodium-) motive force when Fd_{red} is in excess. Consumption of NADH via reduction of acetyl-CoA to acetaldehyde within the BMC (Figure 4a), as well as reduction of acetaldehyde to ethanol in the cytoplasm, are potential mechanisms for generating excess Fd_{red} and driving Rnf-mediated energy conservation.

In contrast with '*Ca*. Caldatribacterium', 'Atribacteria' members from the JS1-1 and JS1-2 lineages found in Sakinaw Lake and the TA-degrading bioreactor ('*Ca*. Atricorium thermopropionicum'; Nobu *et al*., 2015b) lack such sugar fermentation pathways but appear to have the capacity to catabolize organic acids such as acetate (Gies *et al*., 2014) or propionate (Figure 4a). Previous studies have proposed that this lineage may oxidize acetate through syntrophy or sulfate reduction based on observation of Wood–Ljungdahl pathway genes and acetate uptake in marine enrichment cultures, respectively (Webster *et al*., 2011; Gies *et al*., 2014). In network analysis of Sakinaw Lake microbial communities, 'Atribacteria' co-occurred with H_2- and formate-scavenging methanogens and putative propionate-metabolizing *Cloacimonetes*, also suggesting involvement in syntrophic degradation of propionate (Gies *et al*., 2014). Further supporting a role in propionate catabolism, metatranscriptomics of the TA-degrading community revealed the expression of '*Ca*. Atricorium' genes potentially involved in propionate degradation via the methylmalonyl-CoA (Mmc) pathway (Nobu *et al*., 2015b). Members of both JS1-1 and JS1-2 lineages encode Mmc mutase, epimerase and decarboxylase (alpha subunit) genes with high similarity (>70, 70 and 60%, respectively) to those from a representative thermophilic propionate-degrading syntroph, *Pelotomaculum thermopropionicum* strain SI (Imachi *et al*., 2002), along with other genes that could enable conversion of propionate to pyruvate (Supplementary Figure S13). Moreover, they possess complementary energy conservation genes for facilitating thermodynamically limited disposal of reducing power derived from syntrophic propionate catabolism (Figure 4c). Specifically, an electron-bifurcating formate dehydrogenase (EBFdh, containing Fdh and HylABC, where Fdh and HylA are fused; Supplementary Table S5) and membrane-bound hydrogenase could serve as potential mechanisms for energy-conserving formate (Wang *et al*., 2013) and H_2 production (Vignais and Colbeau, 2004) possibly involved in syntrophic metabolism, based on identification of homologues in *Pelobacter carbinolicus* (Nobu *et al*., 2015b) and *Moorella thermoacetica* (Pierce *et al*., 2008). Succinate dehydrogenase and NADH:Nqo may also be involved in energy conservation, allowing for reduction of NAD^+ by reduced flavin produced in propionate catabolism by the Mmc pathway (Figure 4c). Syntrophic propionate oxidation by these 'Atribacteria' lineages may therefore depend on formate and H_2 transfer as observed with *Syntrophobacter* (De Bok *et al*., 2002).

Predicted catabolism of both saccharides and propionate by the 'Atribacteria' takes advantage of electron confurcation to accomplish endergonic NADH oxidation (Figures 4b and c). As this requires exergonic Fd_{red} oxidation as a driving force, the organism must either have sufficient Fd_{red} or Fd_{red}-independent NADH sinks. In addition to the BMC, OP9-1 lineages appear to have other Fd-independent NADH sinks, such as NiFe hydrogenase and alcohol/aldehyde dehydrogenase (Dodsworth *et al*., 2013). However, the putative propionate-oxidizing 'Atribacteria' lineages lack these enzymes as well as NADH:Fd oxidoreductases such as Rnf (Sieber *et al*., 2012; Nobu *et al*., 2015a) that syntrophic propionate oxidizers typically rely on to circumvent this issue. The only obvious alternative NADH sink encoded by the putative propionate-oxidizing JS1-1 and JS1-2 lineages involves acetyl-CoA reduction to acetaldehyde by BMC-associated PduPL (Figure 4a), suggesting that the BMC has a critical role in propionate catabolism. As BMC are thought to allow aldehyde concentration and storage (Sampson and Bobik, 2008), this could serve as both a NADH sink and carbon storage mechanism analogous to polyhydroxyalkanoate synthesis (Nobu *et al*., 2014c). The association of aldehyde-condensing DERA and sugar isomerase with the BMC locus suggests potential conversion

of aldehydes to sugar. To reoxidize the stored acetaldehyde, JS1-1 and JS1-2 genomes encode homologues of aldehyde:Fd oxidoreductase; coupling this oxidoreductase with Mbh could allow acetaldehyde oxidation, Fd_{red} generation and ultimately H_2 generation even under limitation of exogenous substrates, such as propionate. Although it is not likely required in '*Ca*. Caldatribacterium', this BMC-mediated electron sink and aldehyde storage could nonetheless enhance sugar fermentation by providing flexibility in using an aldehyde reservoir as an electron donor (that is, acetaldehyde oxidation) or acceptor (that is, reduction to ethanol). Therefore, we speculate that the BMC-associated aldehyde metabolism may interact with syntrophic metabolism (propionate catabolism, EBFdh and Mbh) in JS1-1 and JS1-2 lineages and sugar fermentation in OP9-1 lineages to facilitate phylum-wide energy-conserving catabolism and carbon storage.

Conclusions

The 'Atribacteria', inclusive of the OP9 and JS1 lineages, is a globally distributed candidate phylum that appears restricted to anaerobic environments. Notably, many of these environments, such as the TA reactor (Nobu *et al.*, 2015b), Etoliko Lagoon sediment (Chamalaki *et al.*, 2014) and Sakinaw Lake monimolimnion (Gies *et al.*, 2014), contain considerable amounts of organic carbon but have relatively low availability of inorganic compounds suitable for use in anaerobic respiration and thus represent the so-called 'low-energy' ecosystems where fermentation and syntrophy are likely important metabolic strategies. These characteristics coincide with the potential catabolisms and lack of respiratory capacity predicted for the 'Atribacteria' lineages represented by the genomic data sets analysed in this study. BMC-mediated metabolism of sugar phosphates such as deoxyribose 5-phosphate by the 'Atribacteria', as suggested by Axen *et al.*, (2014), could also have an important role in nutrient recycling in these environments. The capacity for syntrophic propionate catabolism predicted for the JS1-1 lineage points to an ecological role for the 'Atribacteria' in sediments in the 'dark ocean biosphere', especially those associated with methane hydrates, hydrocarbon seeps and on continental margins and shelves where this candidate phylum is often abundant (Orcutt *et al.*, 2011; Parkes *et al.*, 2014). Although the existing genomic coverage only scratches the surface of the diversity encompassed by this candidate phylum, we posit that primary and secondary fermentation and syntrophy may be a common catabolic theme among members of the 'Atribacteria'. The results presented here can inform enrichment or cultivation efforts targeting specific members of the 'Atribacteria' and provide a platform for probing cooperative interactions, physiological capacities and the role of the BMC in members of this lineage.

Conflict of Interest

The authors declare no conflict of interest.

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